



Summit Thoracic Institute Thoracic Surgery

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Phone: (516) 233-1952 / Fax (516) 233-1954

www.summitsurgicalinstitute.com

Gary M. Kline, MD, MPH, FACS

Dear Patient,

We, at Summit Thoracic Institute are committed to provide our patients with the highest quality and most comprehensive medical care. We want your first visit to our Office to be pleasant. Therefore, we have created this package of documents that you can read and complete at your leisure. This will help your first visit go smoothly. Also, please jot down any questions you may have and we'll go over them during your appointment.

INSTRUCTIONS:

1. Print this "Patient Registration Package."
2. Read the documents thoroughly.
3. Complete all blank fields and sign the documents.
4. Make a copy for yourself (if desired).
5. Bring the original documents with you to our Office on your first appointment but please keep the last 4 pages regarding "Notice of Privacy Practices" for your records.

***** KEY REMINDER *****

The following items are **REQUIRED** at the time of your Office visit:

1. INSURANCE CARD(S)
2. PHOTO IDENTIFICATION
3. WRITTEN REFERRALS FROM PRIMARY CARE PHYSICIAN (FOR ALL HMO INSURANCE)
4. OFFICE VISIT/SPECIALIST CO-PAYMENT*

* Our Office accepts cash, checks, and all credit cards.

Note: If you do **not** have the above with you at your scheduled office visit, **then the appointment will have to be rescheduled.**

Thank you for your cooperation,

Physicians at Summit Thoracic Institute

INSURANCE ASSIGNMENT RELEASE

ASSIGNMENT RELEASE: I hereby authorize payment directly to Gary M. Kline, M.D., for all insurance benefits otherwise payable to me for services rendered. I understand that I am financially responsible for all charges whether or not paid by insurance, and for all services rendered on my behalf or my dependents. I authorize the above doctor and/or any provider or supplier of services in this office to release the information required to secure the payment of benefits. I authorize the use of this signature on all insurance submissions.

Signature of Responsible Party

Date

CANCELLATION NOTICE

Doctors Appointment:

Due to frequent no shows for appointments and late cancellations, we have been unable to accommodate patients in a timely manner. Therefore, we are instituting a policy of 24 hours in advance cancellation notification. If you do not cancel your doctor's appointment **at least 24 hours in advance** then you will be charged a fee of \$25.00 for the appointment.

Surgical Procedures:

Summit Thoracic Institute is instituting a policy of 48 hours in advance cancellation notification for any in office surgical procedures. If you do not cancel your appointment **at least 48 hours in advance** then you will be charged a fee of \$100.00, prior to rescheduling surgical procedures.

I hereby agree and will be responsible for the fee if I do not abide to the cancellation policy notification. I understand that I will have to pay the fee before my next office appointment.

Patient Signature

Date

Witness Signature

Date

PATIENT INFORMATION – 1

LAST NAME: _____ FIRST NAME: _____ SS# _____ - _____ - _____

DATE OF BIRTH: _____ AGE: _____ SEX: M _____ F _____ MARITAL STATUS: W _____ D _____ M _____ S _____

ADDRESS: _____ APT# _____

CITY: _____ STATE: _____ ZIP: _____ PATIENT HOME # _____

BUSINESS # (_____) _____ - _____ CELL # (_____) _____ - _____ FAX # (_____) _____ - _____

EMPLOYER: _____ OCCUPATION: _____

EMERGENCY CONTACT NAME: _____ RELATIONSHIP _____

EMERGENCY CONTACT # (_____) _____ - _____ PATIENT E-MAIL _____

INSURANCE INFORMATION:

IS MEDICARE YOUR PRIMARY INSURANCE? YES _____ NO _____ MEDICARE ID# _____

WHAT IS YOUR PRIMARY INSURANCE: _____ INSURANCE ID # _____

WHAT IS YOUR SECONDARY INSURANCE: _____ INSURANCE ID # _____

WHOSE NAME IS INSURANCE UNDER? _____ INSURANCE ID# _____

INSURED'S EMPLOYER _____

INSURED'S SS # _____ - _____ - _____ INSURED'D DATE OF BIRTH _____

YOUR RELATIONSHIP TO INSURED _____

REFERRING DOCTOR: NAME _____

ADDRESS _____

PHYSICIAN'S EMAIL: _____ # (_____) _____ - _____ FAX # (_____) _____ - _____

FEDERAL REGULATIONS REQUIRE US TO OBTAIN YOUR PERMISSION TO DISCUSS YOUR PROTECTED HEALTH INFORMATION WITH ANYONE, INCLUDING FAMILY MEMBERS. PLEASE WRITE THE NAME AND TELEPHONE NUMBER OF ONE FAMILY MEMBER OR FRIEND WITH WHOM YOU WISH US TO SHARE YOUR INFORMATION (OPTIONAL):

NAME: _____

TELEPHONE: _____

PATIENT INFORMATION – 2

IF YOU WISH ANY OTHER DOCTORS TO RECEIVE ANY REPORTS FROM US, PLEASE PROVIDE THE FOLLOWING INFORMATION:

	PHYSICIAN #1	PHYSICIAN #2	PHYSICIAN #3
NAME			
ADDRESS:			
ADDRESS:			
PHONE#			

I AUTHORIZE ANY HOLDER OF MEDICAL OR OTHER INFORMATION ABOUT ME TO BE RELEASED TO THE SOCIAL SECURITY ADMINISTRATION AND HEALTH CARE FINANCING ADMINISTRATION OR ITS INTERMEDIARIES; CARRIERS OR ANY OTHER HEALTH INSURANCE COMPANY, ANY INFORMATION NEEDED FOR THIS OR RELATED MEDICAL CLAIM. I PERMIT A COPY OF THIS AUTHORIZATION TO BE USED IN PLACE OF THE ORIGINAL AND REQUEST A PAYMENT OF MEDICAL INSURANCE BENEFITS TO THE PARTY WHO ACCEPTS ASSIGNMENT.

PATIENT SIGNATURE: _____ DATE: _____

Gary M. Kline, MD, MPH, FACS
Summit Thoracic Institute
Thoracic Surgery

ACKNOWLEDGEMENT OF RECEIPT OF NOTICE OF PRIVACY PRACTICES

I, _____ acknowledge that I have received a copy
(Name of Patient)

of Gary M. Kline, MD, MPH, FACS — Notice of Privacy Practices. This Notice describes how Gary M. Kline, MD may use and disclose my protected health information, certain restrictions on the use and disclosure of my healthcare information, and rights I may have regarding my protected health information.

Signature of Patient or Personal Representative

Date

Relationship to Patient

SUMMIT THORACIC INSTITUTE PATIENT MEDICAL HISTORY – 1

Patient Name: _____ **Date of Birth:** _____

Please answer the following questions. They will become a strictly confidential part of your record.

Please provide information on your other physicians (or indicate "N/A"):

	Primary Care Physician / General Practitioner	Cardiologist	Pulmonary Specialist
NAME			
ADDRESS:			
ADDRESS:			
PHONE#			

Who referred you to our Office? _____

Reason you are coming to our Office today? Lung Problem ____ Valve Problem ____
Coronary Artery Disease ____ Other (Specify): _____

Are you having any of the following symptoms?

- | | |
|---|--|
| <p>_____ Shortness of breath on exertion</p> <p>_____ Shortness of breath at rest</p> <p>_____ Coughing up mucus. Color? ____</p> <p>_____ Recent weight loss? Lbs. _____</p> <p>_____ Headache</p> <p>_____ Pain in calves while walking</p> <p>_____ Loss of appetite</p> | <p>_____ Coughing up blood</p> <p>_____ Difficulty breathing when trying to sleep</p> <p>_____ Passed out</p> <p>_____ Decrease in appetite</p> <p>_____ Chest pain / angina</p> <p>_____ Night sweats</p> <p>_____ Difficulty swallowing (circle):
Solids? Yes / No
Liquids? Yes / No</p> |
|---|--|

Past Medical History:

- | | |
|---|--|
| <p>_____ High Blood Pressure</p> <p>_____ Diabetes</p> <p>_____ Peripheral Vascular Disease</p> <p>_____ Carotid Artery Disease</p> <p>_____ Asthma</p> <p>_____ Asbestos Exposure</p> <p>_____ Congestive Heart Failure</p> <p>_____ Hepatitis/Jaundice/Liver Disease</p> <p>_____ Pacemaker</p> <p>_____ Rheumatic Heart Fever</p> <p>_____ Episode of weakness on one side of body</p> | <p>_____ Heart Attack: Date _____</p> <p>_____ Irregular Heart Rhythm</p> <p>_____ Slurring of Speech</p> <p>_____ Stroke</p> <p>_____ Kidney Problems</p> <p>_____ COPD / Emphysema</p> <p>_____ Tuberculosis: Self? Y / N Family? Y / N</p> <p>_____ Peptic Ulcer Disease</p> <p>_____ Fluid around Lungs</p> <p>_____ Pneumonia</p> <p>_____ Seizure Disorder</p> <p>_____ Vein Stripping</p> |
|---|--|

SUMMIT THORACIC INSTITUTE PATIENT MEDICAL HISTORY – 2

Patient Name: _____ **Date of Birth:** _____

Have you ever been hospitalized or undergone surgery? Yes No

If yes, provide the following details:

Reason for Hospitalization	Hospital	Date
1.		
2.		
3.		
4.		

List all the medications you are currently taking:

Medication	Dose	Frequency	Medication	Dose	Frequency
1.			2.		
3.			4.		
5.			6.		

Are you allergic to any medications? Yes No

If yes, please list: _____

Marital Status: Single Married Divorced Widowed

Employment Status: Employed Unemployed Retired Don't Work

Social Situation: Lives Alone Lives with Family – List Names and Relationships:

Smoking History: Yes No If yes, how many years? _____ Pkgs/Day _____

Do you still smoke? Yes No If no, when did you stop _____

What did you smoke? Cigarettes Cigars Pipe

Do you have more than two alcoholic drinks per day? Yes No

Family History of Lung or Heart Disease:

Father:		Brother(s):	
Mother:		Sister(s):	
Child:		Other:	

SUMMIT THORACIC INSTITUTE PATIENT MEDICAL HISTORY – 3

Patient Name: _____ **Date of Birth:** _____

CHECK ALL THE FOLLOWING THAT APPLY TO YOU:

Constitutional: _____ Fever _____ Chills _____ Night Sweats _____ Anorexia _____ Fatigue
_____ Weight Loss (lbs. _____)

Nutritional Status: _____ Excellent _____ Good _____ Poor

Neurological Status: _____ Seizure _____ Stroke _____ Lightheadedness _____ Dizziness
_____ Balance Problems _____ Headaches

Eyes: _____ Double Vision _____ Blurred Vision _____ Cataracts _____ Glaucoma _____ Blind

Ears, Nose, Mouth & Throat: Hearing Impairment (**Circle**): Right / Left / Both
_____ Hearing Aide _____ Difficulty Swallowing _____ Hoarseness

Cardiac: _____ Heart Attack (years: _____) _____ Chest Pain _____ Palpitations
_____ Pacemaker _____ Heart Murmur _____ High Blood Pressure
_____ High Cholesterol _____ Stress Test (when/where: _____)

Pulmonary: _____ Pneumonia _____ Asthma _____ Cough _____ Coughing Up Blood
_____ Shortness of Breath (how much activity: _____)
_____ TB Exposure _____ PPD+ _____ O₂@ _____ L/min
_____ Difficulty breathing when lying down _____ # of pillows used

Gastrointestinal: _____ Heartburn _____ Ulcer Disease _____ Hepatitis _____ Nausea
_____ Gall Bladder Problems _____ Bloody Stool _____ Vomiting
_____ Blood from Rectum _____ Constipation _____ Diarrhea
_____ Colonoscopy – List Dates: _____

Genitourinary: _____ Kidney Disease _____ Blood in Urine _____ Difficulty Urinating
_____ Frequency _____ Urgency _____ Prostrate Problems

Gynecologic: _____ Last Mammogram-Date: _____ Result: _____ Normal _____ Abnormal
_____ Vaginal Bleeding / Other: _____

Musculoskeletal: _____ Muscle Pain _____ Bone Pain _____ Joint Pain _____ Arthritis
_____ Joint Swelling _____ Arm/Leg Swelling _____ Weakness

Endocrine: _____ Diabetes (Onset Date: _____) _____ Thyroid Disease

SUMMIT THORACIC INSTITUTE
PATIENT MEDICAL HISTORY – 4

Patient Name: _____ **Date of Birth:** _____

Skin: _____ Rashes _____ Skin Cancer (Type: _____)

Psychiatric: ___ Depression ___ Anxiety ___ Other Psychiatric Problems; please list:

The Following Questions Relate to Pain:

Rate of Pain on a scale of 1-10 (0=none / 5=moderate / 10=worst) _____

Location of Pain: _____

Description of Pain: ___ Dull ___ Sharp ___ Very Sharp ___ Spurts of Sharpness
___ Constant

When pain was first noticed: ___ Today ___ Days Ago ___ Weeks Ago ___ Months Ago

What makes the pain better: _____ Moving _____ Standing _____ Sitting _____ Lying Down
Other: _____

What makes the pain worse: _____ Moving _____ Standing _____ Sitting _____ Lying Down
Other: _____

Duration of Pain: _____ 30 Minutes _____ One Hour _____ Constant

Does anything else occur at the same time? _____ No _____ Yes

If yes, describe: _____

Does the pain interfere with your normal activity? _____ No _____ Yes

If yes, describe: _____

I certify that the above information is correct to the best of my knowledge:

Patient Signature

Date

NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

I. What this Is This Notice? This notice describes the privacy practices of Gary M. Kline, MD, MPH, FACS

II. Our Privacy Obligations

We are required by law to maintain the privacy of medical and health information about you ("**Protected Health Information**" or "**PHI**") and to provide you with this Notice of our legal duties and privacy practices with respect to PHI. When we use or disclose PHI, we are required to abide by the terms of this Notice (or other notice in effect at the time of the use or disclosure).

III. Permissible Uses and Disclosures Without Your Written Authorization

In certain situations, which we will describe in Section IV below, we must obtain your written authorization in order to use and/or disclose your PHI. However, we do not need any type of authorization from you for the following uses and disclosures:

A. Uses and Disclosures For Treatment, Payment and Health Care Operations. We may use and disclose PHI in order to treat you, obtain payment for services provided to you and conduct our "health care operations" (e.g., internal administration, quality improvement and customer service) as detailed below:

- Treatment. We use and disclose PHI to provide treatment and other services to you--for example, to diagnose and treat your injury or illness. In addition, we may contact you to provide appointment reminders or information about treatment alternatives or other health-related benefits and services that may be of interest to you. We may also disclose PHI to other providers involved in your treatment.
- Payment. We may use and disclose PHI to obtain payment for services that we provide to you--for example, disclosures to claim and obtain payment from your health insurer, HMO, or other company that arranges or pays the cost of some or all of your health care ("**Your Payor**"), or to verify that Your Payor will pay for health care.
- Health Care Operations. We may use and disclose PHI for our health care operations, which include internal administration and planning and various activities that improve the quality and cost effectiveness of the care that we deliver to you. For example, we may use PHI to evaluate the quality and competence of our physicians, nurses and other health care workers. We may disclose PHI to our office manager in order to resolve any complaints you may have and ensure that you have a pleasant visit with us.

We may also disclose PHI to your other health care providers when such PHI is required for them to treat you, receive payment for services they render to you, or conduct certain health care operations, such as quality assessment and improvement activities, reviewing the quality and competence of health care professionals, or for health care fraud and abuse detection or compliance.

B. Disclosure to Relatives Close Friends and Other Caregivers. We may use or disclose PHI to a family member, other relative, a close personal friend or any other person identified by you when you are present for, or otherwise available prior to, the disclosure. If you object to such uses or disclosures, please notify the Office Manager

NOTICE OF PRIVACY PRACTICES

If you are not present, you are incapacitated, or in an emergency circumstance, we may exercise our professional judgment to determine whether a disclosure is in your best interests. If we disclose information to a family member, other relative or a close personal friend, we would disclose only information that is directly relevant to the person's involvement with your health care or payment related to your health care. We may also disclose PHI in order to notify (or assist in notifying) such persons of your location, general condition or death.

- C.** Public Health Activities. We may disclose PHI for the following public health activities: (1) to report health information to public health authorities for the purpose of preventing or controlling disease, injury or disability; (2) to report child abuse and neglect to public health authorities or other government authorities authorized by law to receive such reports; (3) to report information about products and services under the jurisdiction of the U.S. Food and Drug Administration; (4) to alert a person who may have been exposed to a communicable disease or may otherwise be at risk of contracting or spreading a disease or condition; and (5) to report information to your employer as required under laws addressing work-related illnesses and injuries or workplace medical surveillance.
- D.** Victims of Abuse, Neglect or Domestic Violence. If we reasonably believe you are a victim of abuse, neglect or domestic violence, we may disclose PHI to a governmental authority, including a social service or protective services agency, authorized by law to receive reports of such abuse, neglect, or domestic violence.
- E.** Health Oversight Activities. We may disclose PHI to a health oversight agency that oversees the health care system and is charged with responsibility for ensuring compliance with the rules of government health programs such as Medicare or Medicaid.
- F.** Judicial and Administrative Proceedings. We may disclose PHI in the course of a judicial or administrative proceeding in response to a legal order or other lawful process.
- G.** Law Enforcement Officials. We may disclose PHI to the police or other law enforcement officials as required or permitted or permitted by law or in compliance with a court order or a grand jury or administrative subpoena.
- H.** Decedents. We may disclose PHI to a coroner or medical examiner as authorized by law.
- I.** Organ and Tissue Procurement. We may disclose PHI to organizations that facilitate organ, eye or tissue procurement, banking or transplantation.
- J.** Research. We may use or disclose PHI without your consent or authorization if an Institutional Review Board/Privacy Board approves a waiver of authorization for disclosure.
- K.** Health or Safety. We may use or disclose PHI to prevent or lessen a serious and imminent threat to a person's or the public's health or safety.
- L.** Specialized Government Functions. We may use and disclose PHI to units of the government with special functions, such as the U.S. military or the U.S. Department of State under certain circumstances required by law.
- M.** Workers' Compensation. We may disclose PHI as authorized by and to the extent necessary to comply with laws relating to workers' compensation or other similar programs.
- N.** As required by law. We may use and disclose PHI when required to do so by any other law not already referred to in the preceding categories.

IV. Use and Disclosures Requiring Your Written Authorization

- A.** Use or Disclosure with Your Authorization. For any purpose other than the ones described in Section III, we only may use or disclose PHI when (1) you give us your authorization on our

NOTICE OF PRIVACY PRACTICES

authorization form ("Authorization to Use and Disclose Health Information"). For instance, you will need to execute an authorization form before we can send your PHI to your life insurance company, to your child's camp or school, or to the attorney representing the other party in litigation in which you are involved

- B. Special Authorization.** Confidential HIV-related information (for example, information regarding whether you have ever been the subject of an HIV test, have HIV infection, HIV-related illness or AIDS, or any information which could indicate that you have ever been potentially exposed to HIV) will never be used or disclosed to any person without your specific written authorization, except to certain other persons who need to know such information in connection with your medical care, and, in certain limited circumstances, to public health or other government officials (as required by law), to persons specified in a special court order, to insurers as necessary for payment for your care or treatment, or to certain persons with whom you have had sexual contact or have shared needles or syringes (in accordance with a specified process set forth in New York State law). HIV related information will not be disclosed under any other circumstances unless you sign a release form, which specifically authorizes the release of this information.

There is only one type of disclosure of confidential HIV related information which is permitted with the Authorization to Use and Disclose Health Information, as opposed to the HIV specific release form: disclosures to a third party payor for any reason other than obtaining payment for health care services rendered to you.

- C. Marketing Communications.** We must also obtain your written authorization prior to using your PHI to send you any marketing materials. (We can, however, provide you with marketing materials in a face-to-face encounter, without obtaining your authorization. We are also permitted to give you a promotional gift of nominal value, if we so choose, without obtaining your authorization.) In addition, we may communicate with you about products or services relating to your treatment, case management or care coordination, or alternative treatments, therapies, providers or care settings. We may use or disclose PHI to identify health-related services and products that may be beneficial to your health and then contact you about the services and products.

V. Your Individual Rights

- A. For Further Information; Complaints.** If you desire further information about your privacy rights, are concerned that we have violated your privacy rights or disagree with a decision that we made about access to PHI, you may contact our Office Manager. You may also file written complaints with the Director, Office for Civil Rights of the U.S. Department of Health and Human Services. Upon request, the Office Manager will provide you with the correct address for the Director. We will not retaliate against you if you file a complaint with us or the Director.
- B. Right to Request Additional Restrictions.** You may request restrictions on our use and disclosure of PHI (1) for treatment, payment and health care operations, (2) to individuals (such as a family member, other relative, close personal friend or any other person identified by you) involved with your care or with payment related to your care, or (3) to notify or assist in the notification of such individuals regarding your location and general condition. All requests for such restrictions must be made in writing. While we will consider all requests for additional restrictions carefully, we are not required to agree to a requested restriction. If you wish to request additional restrictions, please obtain a request form from our Office Manager and submit the completed form to the Office Manager. We will send you a written response.
- C. Right to Receive Confidential Communications.** You may request, and we will accommodate, any reasonable written request for you to receive PHI by alternative means of communication or at alternative locations. **Gary M. Kline, MD, MPH, FACS will not send or receive PHI via e-mail.**
- D. Right to Inspect and Copy Your Health Information.** You may request access to your medical

NOTICE OF PRIVACY PRACTICES

record file and billing records maintained by us. All requests for access must be made in writing and must be notarized. If your request is sent via U.S. Mail it should be sent certified with a return receipt requested. Verbal requests and requests sent via fax will not be honored. Under limited circumstances, we may deny you access to your records. If you desire access to your records, please obtain a record request form from the Office Manager and submit the completed form to the Office Manager. If you request copies, we will charge you [\$0.75] for each page, payable in advance.

You should take note that, if you are a parent or legal guardian of a minor, certain portions of the minor's medical record will not be accessible to you (for example, records relating to venereal disease, abortion, or care and treatment to which the minor is permitted to consent himself/herself (without your consent) such as HW testing, sexually transmitted disease diagnosis and treatment, chemical dependence treatment, prenatal care, care received by a married minor, and contraception and/or family planning services).

- E.** Right to Revoke Your Authorization. You may revoke your Authorization to Use and Disclose Health Information, 11W-related authorization, or marketing authorization, except to the extent that we have taken action in reliance upon it, by delivering a written revocation statement to the Office Manager identified below. [A form of Written Revocation is available upon request from the Office Manager].
- F.** Right to Amend Your Records. You have the right to request that we amend PHI maintained in your medical record file or billing records. If you desire to amend your records, please obtain an amendment request form from the Office Manager and submit the completed form to the Office Manager. All requests for amendments must be in writing, notarized, and if mailed, should be sent certified with a return receipt requested.. We will comply with your request unless we believe that the information that would be amended is accurate and complete or other special circumstances apply.
- G.** Right to Receive An Accounting of Disclosures. Upon written request, you may obtain an accounting of certain disclosures of PHI made by us during any period of time prior to the date of your request provided such period does not exceed six years and does not apply to disclosures that occurred prior to April 14, 2003. If you request an accounting more than once during a twelve (12) month period, we will charge you [\$25.00 per page, payable in advance] for the accounting statement
- H.** Right to Receive Paper Copy of this Notice. Upon written request, you may obtain a paper copy of this Notice.

VI. Effective Date and Duration of This Notice

- A.** Effective Date. This Notice is effective on April 14, 2003.
- B.** Right to Change Terms of this Notice. We may change the terms of this Notice at any time. If we change this Notice, we may make the new notice terms effective for all PHI that we maintain, including any information created or received prior to issuing the new notice. If we change this Notice, we will post the revised notice in waiting areas of the Practice. You may also obtain any revised notice by contacting the Office Manager.

VII. Office Manager

You may contact the Office Manager at:

Gary M. Kline, MD, MPH, FACS
332 Summit Avenue, Hackensack, NJ 07601
Phone: (201) 488-6445 / Fax: (201) 488-6441
410 Lakeville Road, Suite 310, Lake Success, NY 11040
Phone: 201-488-6445 / Fax: (516) 233-1952